

IDS Form PTO/SB/08: Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of

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**Complete if Known**

Application Number	09/466,171
Filing Date	December 17, 1999
First Named Inventor	Karl L. Ginter, et al.
Art Unit	2132
Examiner Name	Justin T. Darrow
Attorney Docket Number	07451.0005-03000

**U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-			
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**Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.****FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
LG		Exhibit A: Patent Interference No. 105,142, Benson vs. Ginter, "Decision on Motions," mailed November 17, 2004, 24 pages.	
		Exhibit B: Patent Interference No. 105,142, Ginter vs. Benson, "Decision on Priority," mailed December 28, 2005, 156 pages.	
		Exhibit C: Patent Interference No. 105,142, Ginter vs. Benson, "Amended Judgment - Bd. Rule 127," mailed January 5, 2006, 5 pages.	
LG		Exhibit D: Patent Interference No. 105,142, Ginter vs. Benson, "Judgment - Bd. Rule 127," mailed June 8, 2005, 4 pages.	

Examiner Signature		Date Considered	
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Sheet 1 of 2

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		Country Code <sup>1</sup> Number <sup>2</sup> Kind Code <sup>3</sup> (if known)				
B G K		JP 01 248891 A	10-04-1989			Abstract only
		JP 01 296363 A	11-29-1989			Abstract only
		JP 01 68853 A	03-14-1989			Abstract only
		JP 04 117548 A	04-17-1992			Abstract only
		JP 04 504794	08-20-1992			Abstract only
		JP 05 173892 A	07-13-1993			Abstract only
		JP 05 258463 A	10-08-1993			Abstract only
		JP 06 152585 A	05-31-1994			Abstract only
		JP 06 161719 A	06-10-1994			Abstract only
		JP 06 250924	09-09-1994			Abstract only
		JP 06 501120	01-27-1994			Abstract only
		JP 07 319681 A	12-08-1995			Abstract only
		JP 61 121145 A	06-09-1986			Abstract only
		JP 63 129564 A	06-01-1988			Abstract only
		JP 63 289646 A	11-28-1988			Abstract only

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
B	C1	"Information Society Facing a Turning Point, Information Flood, How to Stand Against Flood of Copies," <i>Nikkei Byte</i> , 92:316-319, 1991.	Unavailable
G	C2	GARRETT et al., "Toward an electronic Copyright Management System," <i>J. of the Amer. Soc. for Info.</i> , 44(8):468-473, 1993.	Yes
K	C3	KOZUKA et al., "Electronic Magazine Editing Software for 3DO," <i>National Technical Report, Matsushita Electric Industrial Co., Ltd.</i> , 40(6):88-97, 1994.	Abstract only

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**NON PATENT LITERATURE DOCUMENTS**

C4	ROZENBLIT, Moshe, "Secure Software Distribution," <i>IEEE Network Operations and Management Symposium</i> , 2:486-496, 1994.	Yes
C5	SEKI et al., "A Proposal for New Software Distribution System Using a Secret Code," <i>Research Report of Information Processing Societies</i> , 93(64):19-28, 1993.	Abstract only
C6	TERADA, Minoru, "Exhausting Software," <i>bit</i> , Kyoritsu Shuppan Co., Ltd., 26(10):12-18, 1994.	Unavailable
C7	TORII et al., "System Architecture for Super Distribution," <i>Technical Research Report of Institute of Electronics, Information and Communication Engineers</i> , 94(240):59-66, 1994.	Abstract only
C8	UEKI et al., "Accounting Processing in Right Management Mechanism for Super Distribution," <i>Study Report of Information Processing Societies</i> , 90(1):1-10, 1990.	Abstract only

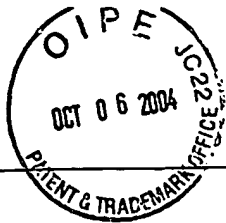
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GILBERTO BARRON

SUPERVISORY PATENT EXAMINER  
TECHNOLOGY UNIT 2100

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OMB No. 0651-0011

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Atty. Docket No.: 07451.0005-03000	Application No.: 09/466,171
Applicant: Karl L. Ginter, et al.	
Filing Date: December 17, 1999	Group: 2132

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
GA	3,790,700	2/5/1974	Callais, et al.			
	3,806,874	4/21/1974	Ehrat			
	3,845,391	10/29/1974	Crosby			
	3,996,449	12/7/1976	Attanasio et al.			
	4,020,326	4/26/1977	Coulthurst			
	4,071,911	1/31/1978	Mazur			
	4,104,721	8/1/1978	Markstein et al.			
	4,162,483	7/24/1979	Entenman			
	4,183,085	1/8/1980	Roberts et al.			
	4,246,638	1/20/1981	Thomas			
	4,259,720	3/31/1981	Campbell			
	4,321,672	3/23/1982	Braun et al.			
	4,405,829	9/20/1983	Rivest et al.			
	4,442,484	4/10/1984	Childs, Jr et al.			
	4,471,216	9/11/1984	Herve			
	4,484,217	11/20/1984	Block et al.			
	4,523,271	6/11/1985	Levien			
	4,525,599	6/25/1985	Curran et al.			
	4,529,870	7/16/1985	Chaum			
	4,562,305	12/31/1985	Gaffney, Jr.			
	4,573,119	2/25/1986	Westheimer et al.			

Examiner	Date Considered:
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Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce



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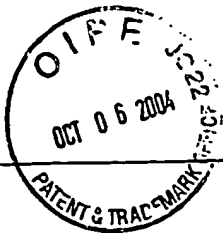
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Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
CB	4,578,530	3/25/1986	Zeidler			
	4,584,639	4/22/1986	Hardy			
	4,590,552	5/20/1986	Guttag et al.			
	4,598,288	7/1/1986	Yarbrough et al.			
	4,599,489	7/8/1986	Cargile			
	4,609,777	9/2/1986	Cargile			
	4,609,985	9/2/1986	Dozier			
	4,621,321	11/4/1986	Boebert et al.			
	4,621,334	11/4/1986	Garcia			
	4,622,222	11/11/1986	Horváth et al.			
	4,672,605	6/9/1987	Hustig et al.			
	4,677,552	6/30/1987	Sibley, Jr.			
	4,683,968	8/4/1987	Appelbaum et al.			
	4,700,296	10/13/1987	Palmer, Jr. et al.			
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	4,748,561	5/31/1988	Brown			
	4,780,821	10/25/1988	Crossley			
	4,796,220	1/3/1989	Wolfe			
	4,798,209	1/27/1989	Klingenbeck, et al.			
	4,816,655	3/28/1989	Musyck et al.			
LG	4,864,616	9/5/1989	Pond et al.			

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OB 4f	4,866,769	9/12/1989	Karp			
	4,868,736	9/19/1989	Walker			
	4,888,798	12/19/1989	Earnest			
	4,893,248	1/9/1990	Pitts et al.			
	4,893,332	1/9/1990	Brown			
	4,919,545	4/24/1990	Yu			
	4,924,378	5/8/1990	Hershey et al.			
	4,926,480	5/15/1990	Chaum			
	4,930,073	5/29/1990	Cina Jr.			
	4,937,863	6/26/1990	Robert et al.			
	4,941,175	7/10/1990	Enescu et al.			
	4,953,209	8/28/1990	Ryder, Sr. et al.			
	4,962,533	10/9/1990	Krueger et al.			
	4,975,647	12/4/1990	Downer et al.			
	4,975,878	12/4/1990	Boddu et al.			
	4,995,082	2/19/1991	Schnorr			
	5,014,234	5/7/1991	Edwards, Jr.			
	5,022,080	6/4/1991	Durst et al.			
OB	5,027,397	6/25/1991	Double et al.			
	5,032,979	7/16/1991	Hecht et al.			
	5,058,162	10/15/1991	Santon et al.			

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Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,065,429	11/12/1991	Lang			
	5,079,648	1/7/1992	Maufe			
	5,103,459	4/7/1992	Gilhousen et al.			
	5,109,413	4/28/1992	Comerford et al.			
	5,113,518	5/12/1992	Durst, Jr. et al.			
	5,129,084	7/7/1992	Kelly, Jr. et al.			
	5,138,712	8/11/1992	Corbin			
	5,150,407	9/22/1992	Chan			
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	5,191,573	3/2/1993	Hair			
	5,199,066	3/30/1993	Logan			
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	5,204,897	4/20/1993	Wyman			
	5,206,951	4/27/1993	Khoyi et al.			
	5,218,605	6/8/1993	Low et al.			
	5,227,797	7/13/1993	Murphy			
	5,251,294	10/5/1993	Abelow			
	5,263,157	11/16/1993	Janis			
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	5,276,901	1/4/1994	Howell et al.			
	5,283,830	2/1/1994	Hinsley et al.			

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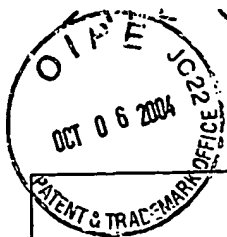
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	5,287,407	2/15/1994	Holmes			
	5,291,598	3/1/1994	Grundy			
	5,301,326	4/5/1994	Linnett et al.			
	5,319,735	6/7/1994	Preuss et al.			
	5,325,524	6/28/1994	Black et al.			
	5,335,346	8/2/1994	Fabbio			
	5,337,357	8/9/1994	Chou et al.			
	5,343,526	8/30/1994	Lassers			
	5,349,642	9/20/1994	Kingdon			
	5,359,721	10/25/1994	Kempf et al.			
	5,361,359	11/1/1994	Tajalli et al.			
	5,365,587	11/15/1994	Campbell, et al.			
	5,367,621	11/22/1994	Cohen et al.			
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	5,369,707	11/29/1994	Follendore, III			
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	5,420,927	5/30/1995	Michali			
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63	5,440,634	8/8/1995	Jones et al.			
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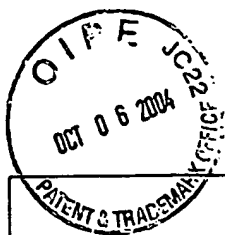
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	5,802,590	9/1/1998	Draves			
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	5,915,019	6/22/1999	Ginter et al.			
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	5,956,408	9/21/1999	Arnold			
	5,966,440	10/12/1999	Hair			
	5,978,484	11/2/1999	Apperson et al.			
	6,009,170	12/28/1999	Sako et al.			
	6,016,393	1/18/2000	White et al.			
	6,102,965	8/15/2000	Dye et al.			
	6,135,646	10/24/2000	Kahn et al.			
	6,253,193	6/26/2004	Ginter et al.			
	6,363,488	3/2/2004	Ginter et al.			
	6,389,402	5/2/2004	Ginter et al.			
	6,427,140	7/2/2004	Ginter et al.			
	6,449,367	9/2/2004	Van Wie et al.			

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CG	6,618,484	9/3/2004	Van Wie et al.			
	6,640,304	10/3/2004	Ginter et al.			
	6,658,568	12/3/2004	Ginter et al.			
CG	6,668,325	12/3/2004	Collberg et al.			

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CG	06-225059	8/12/1994	Japan			
	AU-A-36815/97	2/19/1998	Australia			
	AU-A-36816/97	2/19/1998	Australia			
	AU-A-36840/97	2/19/1998	Australia			
	DE 29 43 436 A1	10/26/1979	Germany			
	EP 0 367 700 A2	5/9/1990	EPO			
	EP 0 567 800 A1	11/3/1993	EPO			
	EP 0 653 695 A2	5/17/1995	EPO			
	EP 0 913 757 A2	5/6/1999	EPO			
	WO 96/27155	9/6/1996	PCT			
	WO 97/43761	11/20/1997	PCT			
	WO 98/09209	3/5/1998	PCT			
	WO 98/10381	3/12/1998	PCT			

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6B	WO 98/37481	8/27/1998	PCT			
	WO 98/45768	10/15/1998	PCT			
	WO 99/01815	1/20/1999	PCT			
6B	WO 99/24928	5/23/1999	PCT			
	WO 99/48296	9/23/1999	PCT			

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6B	A Brief History of the Green Project, viewed on March 12, 2002 at <a href="http://java.sun.com/people/jag/green/index.html">http://java.sun.com/people/jag/green/index.html</a> pp. 1-2.
	A Guide to Understanding Security Modeling in Trusted Systems, National Security Agency, October 1992, 122 pages.
	A2b's Recent Press Coverage, 1998.
	Abadi, M. et al., "Authentication and Delegation with Smart-cards," Technical Report 67, DEC Systems Research Center, October 1990, available at <a href="http://citeseer.nj.nec.com/article/abadi92authentication.html">http://citeseer.nj.nec.com/article/abadi92authentication.html</a> , 22 pages.
	Abadi, M., et al., "A Calculus for Access Control in Distributed Systems", Digital Equipment Corporation, Feb. 28, 1991, revised August 28, 1991.
	Abrams, Marshall D, "Renewed Understanding of Access Control Policies", Proceedings of the 16th Computing National Security Conference, 1993.
	Access Control and Copyright Protection for Images Security Technology for Graphics and Communication Systems - RACE M1005: ACCOPI, webpage, Security Projects at Fraunhofer 1GD, 2002.
	Achievements Archive, <a href="http://www.imprimatur.net/">www.imprimatur.net/</a> web pages.
	Aharonian, G., "Software Patents - Relative Comparison of EPO/PTO/JPO Software Searching Capabilities," Source Translation & Optimization.
	An Introduction to Safety and Security in Telescript, (undated), 8 pages.
6B	Anderson, R., "Why Cryptosystems Fail," University Computer Laboratory, Cambridge, Massachusetts, (undated), pp. 1-34.

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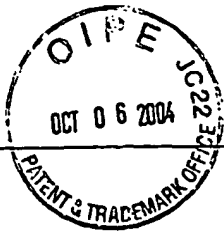
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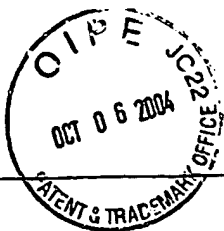
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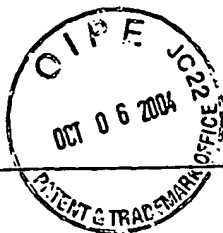
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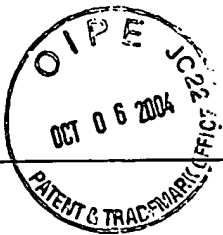
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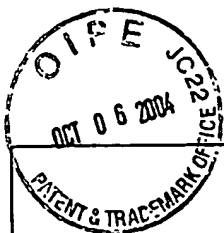
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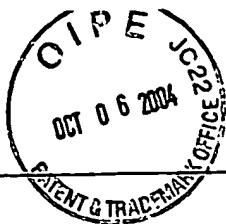
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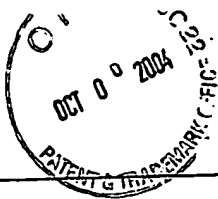
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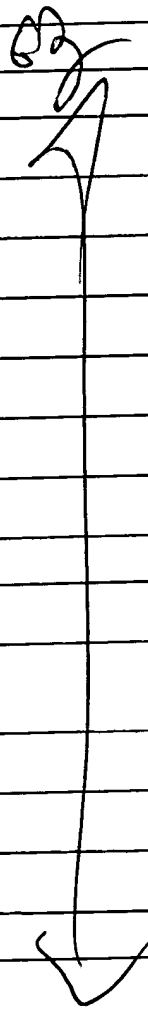
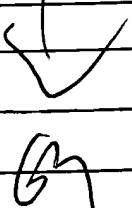


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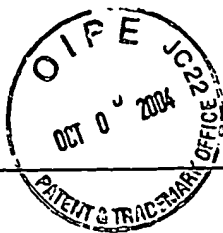
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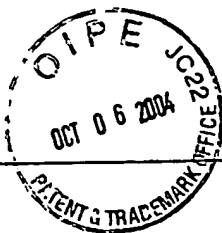
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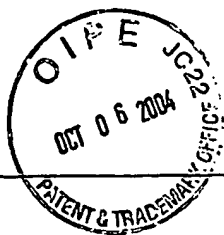
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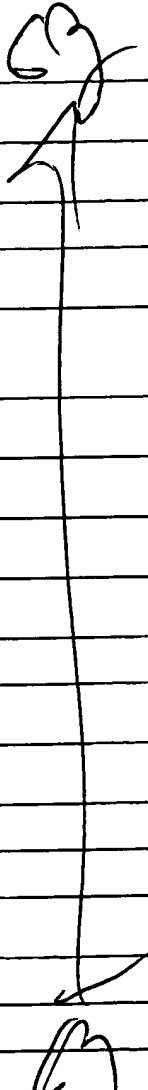
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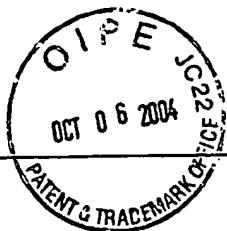
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Gilberto Barron  
GILBERTO BARRON  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100

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